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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Mann et al.
Serial No.: 09/920,285
For: 6-MIRROR MICROLITHOGRAPHY PROJECTION OBJECTIVE
Filed: August 1, 2001
Examiner: Not Yet Assigned
Art Unit: 2881

Attorney Docket No.: 637.0008USU

**Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Hans-Jürgen Mann, Udo Dinger and Michael Mühlbeyer;
- ☐ Declaration Claiming Small Entity Status (Small Business Concern);
- ☒ 12 Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to : **Carl-Zeiss-Stiftung trading as Carl Zeiss**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☒ A Certified Copy of the Priority Application;
- ☒ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.



____ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	27 - 20 =	7	x \$18.00	\$126.00
Independent Claims	4 - 3 =	1	x \$80.00	\$80.00
Multiple Dependent Claim Fee			x \$260.00 = \$0.00	
TOTAL FILING FEE			PAID	

1/2 FILING FEE FOR SMALL ENTITY	\$
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- ☒ A check in the amount of \$ **170.00** to cover the surcharge (\$130) and Assignment recordation sheet (\$40) is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

October 31, 2001

Date

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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON October 31, 2001.

Heather A. Fiorella

NAME.

SIGNATURE

10/31/01

DATE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/920,285	08/01/2001	Hans-Jurgen Mann	637.0008USU

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Stamford, CT 06901-2682



CONFIRMATION NO. 5678

FORMALITIES LETTER



OC000000006536390

Date Mailed: 09/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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